

I. General Instructions

Effective May 11, 2018, Federal regulation changes require us to obtain, verify and record information about the beneficial owners for legal entity customers. The purpose for collecting information helps law enforcement investigate and prosecute crimes where legal entities are disguising involvement in financial crimes such as terrorist financing, money laundering, tax evasion, corruption and fraud.

This form must be completed by the person opening a new account on behalf of a legal entity. For the purposes of this form, a legal entity includes a corporation, limited liability company, or other entity that is created by a filing of a public document with a Secretary of State or similar office, a general partnership, and any similar business entity formed in the United States or a foreign country. Legal entity does not include sole proprietorships, unincorporated associations, or natural persons opening accounts on their own behalf.

II. Certification

Persons opening an account on behalf of a legal entity must provide the following information:

Name/Title of Natural Person opening account:	
Name of Legal Entity:	
Address of Legal Entity:	

(i) The following information is required for each individual, if any, who, directly or indirectly, through any contract, arrangement, understanding, relationship or otherwise, owns 25 percent or more of the equity interests of the legal entity listed above. If no individual meets this definition, please select "Not Applicable" here:

Beneficial Owner 1				Beneficial Owner 2			
Name				Name			
Physical Address				Physical Address			
SSN (US Persons)		Birthday		SSN (US Persons)		Birthday	
Passport <small>(Foreign Persons only; if SSN is not applicable)</small>	Passport #	Equity %		Passport <small>(Foreign Persons only; if SSN is not applicable)</small>	Passport #	Equity %	
	Iss Country				Iss Country		
Beneficial Owner 3				Beneficial Owner 4			
Name				Name			
Physical Address				Physical Address			
SSN (US Persons)		Birthday		SSN (US Persons)		Birthday	
Passport <small>(Foreign Persons only; if SSN is not applicable)</small>	Passport #	Equity %		Passport <small>(Foreign Persons only; if SSN is not applicable)</small>	Passport #	Equity %	
	Iss Country				Iss Country		

(ii) The following information for one individual with significant responsibility for managing the legal entity listed above, such as:

- An executive officer or senior manager (e.g., Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer, Secretary, Clerk; or
- Any other individual who regularly performs similar functions.

(If appropriate, an individual listed under the above section may also be listed in this section.)

Name		SSN (US Persons)	
Physical Address	Passport <small>(Foreign Persons only; if SSN is not applicable)</small>		Passport #
			Iss Country
Birthday		Title	

Signature / Authorization

I, _____ (name of natural person opening account), hereby certify, to the best of my knowledge, that the information provided above is complete and correct. Additionally, I agree to notify Leader Bank of any change in the information provided above.

Signature: _____ Date: _____